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# Photosynthetic Pathways and Climate

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#### 1. Introduction

Other chapters in this volume have explored carbon cycles within and among ecosystems, especially their response to the global changes that are occurring on earth today. In this chapter, the focus shifts from factors that influence carbon flux dynamics to the ways in which the composition of the atmosphere and thermal environment influence the type of photosynthetic system that predominates within a terrestrial ecosystem. In turn, the kind of photosynthetic system present has significant impacts on the distribution of the grazing animals that are dependent on primary productivity generated across the landscape, both in the short-term and over evolutionary time periods.

Three photosynthetic pathways exist in terrestrial plants: C<sub>3</sub>, C<sub>4</sub>, and CAM photosynthesis (Ehleringer and Monson, 1993). C<sub>3</sub> photosynthesis is the ancestral pathway for carbon fixation and occurs in all taxonomic plant groups. C<sub>4</sub> photosynthesis occurs in the more advanced plant taxa and is especially common among monocots, such as grasses and sedges, but not very common among dicots (Ehleringer et al., 1997; Sage and Monson, 1999). CAM photosynthesis occurs in many epiphytes and succulents from very arid regions, but is sufficiently limited in distribution so that CAM plants are not an appreciable component of the global carbon cycle. Therefore, this chapter will focus on the factors influencing the dynamics of C<sub>3</sub>- and C<sub>4</sub>-dominated ecosystems.

Photosynthesis is a multistep process in which the C from CO<sub>2</sub> is fixed into stable organic products. In the first step, RuBP carboxylase-oxygenase (Rubisco) combines RuBP (a 5C molecule) with CO<sub>2</sub> to form two molecules of phosphoglycerate (PGA, 3C molecule). However, Rubisco is an enzyme capable of catalyzing two distinct reactions: one leading to the formation of two molecules of PGA when CO<sub>2</sub> is the substrate and the other resulting in

one molecule each of PGA and phosphoglycolate (PG, 2C molecule) when  $O_2$  is the substrate (Lorimer, 1981). The latter oxygenase reaction results in less net carbon fixation and eventually leads to the production of  $CO_2$  in a process known as photorespiration:

$$\begin{array}{c} \text{RuBP} + \text{CO}_2 & \rightarrow \text{PGA} \\ \text{RuBP} + \text{O}_2 & \rightarrow \text{PGA} + \text{PG} \end{array}$$

The proportion of the time for which Rubisco catalyzes  $CO_2$  versus  $O_2$  is dependent on the  $[CO_2]/[O_2]$  ratio; the reaction is also temperature-dependent, with oxygenase activity increasing with temperature. This dependence of Rubisco on the  $[CO_2]/[O_2]$  ratio establishes a firm link between current atmospheric conditions and photosynthetic activity. As a consequence of Rubisco sensitivity to  $O_2$ , the efficiency of the  $C_3$  pathway decreases as atmospheric  $CO_2$  decreases.

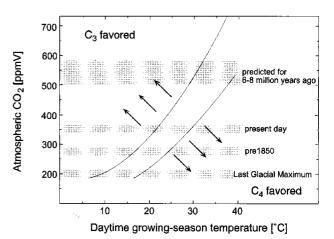
C<sub>4</sub> photosynthesis represents a biochemical and morphological modification of C<sub>3</sub> photosynthesis to reduce Rubisco oxygenase activity and thereby increase the photosynthetic rate in low-CO<sub>2</sub> environments such as we have today (Ehleringer *et al.*, 1991; Sage and Monson, 1999). In C<sub>4</sub> plants, the C<sub>3</sub> cycle of the photosynthetic pathway is restricted to interior cells within the leaf (usually the bundle-sheath cells). Surrounding the bundle-sheath cells are mesophyll cells in which a much more active enzyme, PEP carboxylase, fixes CO<sub>2</sub> (but as HCO<sub>3</sub><sup>-</sup>) into oxaloacetate, a C<sub>4</sub> acid. The C<sub>4</sub> acid diffuses to the bundle-sheath cell, where it is decarboxylated and refixed in the normal C<sub>3</sub> pathway. As a result of the higher activity of PEP carboxylase, CO<sub>2</sub> is effectively concentrated in the regions where Rubisco is located and this results in a high CO<sub>2</sub>/O<sub>2</sub> ratio and limited photorespiratory activity.

When the focus is on ecosystem processes, an appropriate question to ask might be, "Why be concerned about the fact that different photosynthetic pathways exist?" There are several important and clear answers to this question. First, C<sub>3</sub> and C<sub>4</sub> species are capable of giving quite different photosynthetic rates and primary productivity rates, even when grown under similar environmental conditions (Sage and Monson, 1999). Second, morphological and possibly defensive-compound differences between C<sub>3</sub> and C<sub>4</sub> species lead to differences in feeding preferences among herbivores (Caswell *et al.*, 1973; Ehleringer and Monson, 1993; Sage and Monson, 1999). Third, photosynthetic pathways among intensively managed ecosystems, such as pastures and agricultural crops, differ in both productivity and water-use efficiency, exhibiting strong geographical tendencies that reflect climatic variations. Last, the natural distributions of both C<sub>3</sub> and C<sub>4</sub> species exhibit strong relationships with both atmospheric CO<sub>2</sub> and climate, suggesting that future plant distributions need not be similar to today's distributions.

## 2. A Physiological Basis for C<sub>3</sub>/C<sub>4</sub> Plant Distributions

Photorespiration impacts both maximum photosynthetic rate and photosynthetic light-use efficiency (Björkman, 1966; Ehleringer and Björkman, 1977; Ehleringer and Pearcy, 1983; Sage and Monson, 1999). One consequence is that light-use efficiency or quantum yield for CO<sub>2</sub> uptake differ between C<sub>3</sub> and C<sub>4</sub> taxa (Ehleringer and Björkman, 1977). The quantum yield is defined as the slope of the photosynthetic light-response curve at low light levels. As the total leaf area within a canopy increases, an increasing proportion of the canopy-level carbon gain is influenced by light-use efficiency because the light level that the average leaf within the canopy is exposed to reduces with increasing total leaf area. The reduced quantum yield values in C4 taxa are tempertature independent and reflect the additional ATP costs associated with operation of the C<sub>4</sub> cycle (Hatch, 1987; Kanai and Edwards, 1999). In contrast, the quantum yield values of C<sub>3</sub> taxa are reduced as temperatures increase, because Rubisco oxygenase activity increases with temperature. As a consequence, for any given set of atmospheric CO<sub>2</sub> and O<sub>2</sub> conditions, the light-use efficiency of C<sub>3</sub> plants will exceed that of C<sub>4</sub> plants at lower air temperatures and will fall below that of C<sub>4</sub> plants at higher temperatures.

Cerling et al. (1997) and Ehleringer et al. (1997) modeled the effects of variations in  $C_3$  / $C_4$  quantum yields on predicted photosynthetic carbon gain under different environmental combinations of atmospheric  $CO_2$  and temperature. They predicted that as atmospheric  $CO_2$  levels decreased,  $C_4$  photosynthesis should become increasingly more common because of its higher light-use efficiency (Fig. 1). This model predicts that  $C_3$  plants predominated during periods of the earth's history when atmospheric  $CO_2$  levels were above  $\sim 500$  ppmV. Plants with the  $C_4$  pathway are predicted to have a selective advantage only in the warmest ecosystems at atmospheric  $CO_2$  levels close to 500 ppmV. However, as atmospheric  $CO_2$  levels decrease further, the advantage of  $C_4$  photosynthesis and  $C_4$  dominance are predicted to drift toward cooler habitats.



**FIGURE 1** Modeled crossover temperatures of the quantum yield for  $CO_2$  uptake for  $C_3$  and  $C_4$  plants as a function of atmospheric  $CO_2$  concentrations. The boundary conditions shown are for NADP-me  $C_4$  plants (upper boundary) and NAD-me  $C_4$  plants (lower boundary). The crossover temperature is defined as the temperature (for a particular atmospheric  $CO_2$  concentration) at which the quantum yields for  $CO_2$  uptake are equivalent for both the  $C_3$  and the  $C_4$  plant. Figure is modified from Ehleringer *et al.* (1997).

### 3. A Brief History of Atmospheric Carbon Dioxide Levels

The significance of the "quantum yield" model's prediction of  $C_3/C_4$  distributions is best viewed in the context of atmospheric  $CO_2$  changes that have occurred over the past several hundred million years. The history of levels of atmospheric  $CO_2$  is related to its production through volcanism relative to the losses associated with weathering, photosynthesis, and burial in the oceans (Berner, 1994, 1997). The important biogeochemical processes contributing to the change in atmospheric  $CO_2$  are

$$CaSiO_3 + CO_2 \longrightarrow CaCO_3 + SiCO_3$$

and

$$H_2O + CO_2 \longrightarrow CH_2O + O_2$$

where the first reaction describes weathering and the formation of carbonate sediments that are finally deposited in oceanic carbon sinks and the second reaction is an abbreviated description of the production and burial of organic matter in terrestrial sediments. The combination of these two reactions and the presence of liquid water on earth results in a long-term decline in atmospheric CO<sub>2</sub> values (Berner, 1991).

While there is uncertainty about the atmospheric CO<sub>2</sub> values prior to half a million years, most modeling and analytical approaches suggest that atmospheric CO<sub>2</sub> levels were substantially higher in the Cretaceous than they are today (Fig. 2, left). Modeled and experimental approaches further agree that atmospheric CO<sub>2</sub> levels began to decline during the late Cretaceous, eventually settling into a range of concentrations less than 500 ppmV. These

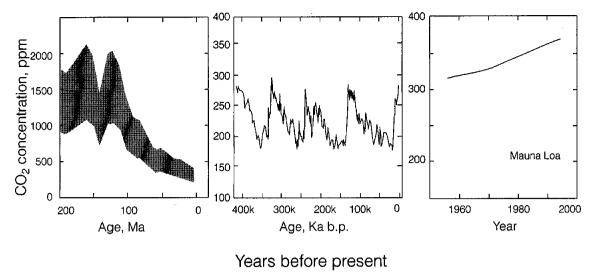


FIGURE 2 Patterns of atmospheric CO<sub>2</sub> concentrations through time. Left plate: reconstruction of paleo CO<sub>2</sub> levels between 200 Ma and present; adopted from Cerling *et al.* 1998a. Middle plate: reconstruction of atmospheric CO<sub>2</sub> from ice cores for the past 160,000 years; adopted from Petit *et al.* (1999). Right plate: atmospheric CO<sub>2</sub> concentrations recorded at Mauna Loa, Hawaii since 1958; adopted from Keeling (1998). records at ORNL CDIAC

relatively low atmospheric CO<sub>2</sub> levels are thought to have characterized the earth's atmosphere from the late Miocene up to the dawn of the Industrial Revolution. Icecore data, particularly the lengthy Vostok ice core observations from Antarctica (Jouzel *et al.*, 1987; Petit *et al.*, 1999), indicate that over the past 420,000 years there have been oscillations in the atmospheric CO<sub>2</sub> from 180 to 280 ppmV, associated with glacial and interglacial periods, respectively (Fig. 2, middle). In contrast to this long-term historical pattern is an anthropogenically induced increase in atmospheric CO<sub>2</sub> levels, especially during the 20th century, to values well in excess of 350 ppmV in association with the continued combustion of fossil fuels (Fig. 2, right).

The answer to "why should natural selection favor the emergence of a second photosynthetic pathway?" is seen in the large decreases in atmospheric CO<sub>2</sub> that have occurred over the past 200 million years, particularly the changes in atmospheric CO<sub>2</sub> levels in the past 6-8 million year, while during the same interval atmospheric O2 levels are thought to have remained almost constant. It is the changes in the [CO<sub>2</sub>]/[O<sub>2</sub>] ratio that result in decreased photosynthesis by C3 plants as photorespiration rates increase, which favors the evolution and expansion of C4 photosynthesis. The higher activity of PEP carboxylase effectively creates a "CO<sub>2</sub> pump," resulting in a [CO<sub>2</sub>]/[O<sub>2</sub>] ratio inside the bundlesheath cells of C<sub>4</sub> plants that is several-fold greater than observed at sites of Rubisco activity in C<sub>3</sub> plants. The "quantum yield" model predicts how common C<sub>4</sub> photosynthesis is expected to be for any global atmospheric CO<sub>2</sub> level. Specifically, the model predicts the temperature ranges that should have favored C<sub>4</sub> over C<sub>3</sub> as atmospheric CO<sub>2</sub> declined over the last 200 million years.

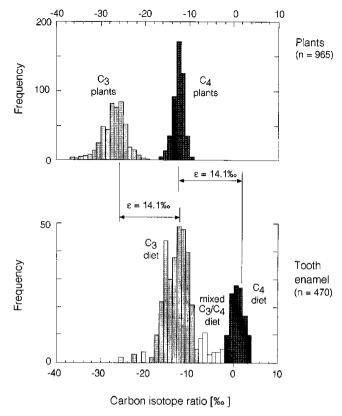
The decreased atmospheric CO<sub>2</sub> levels have had enormous con-

sequences both for the distribution of plant communities across our planet and for animal evolution, as will be discussed below. While throughout much of history, earth had been subject to relatively high atmospheric CO<sub>2</sub> levels, the earth has now been in a "CO<sub>2</sub> -starved mode" for approximately 7 Ma with periods of exceptionally low atmospheric CO<sub>2</sub> levels (~180 ppmV) characterizing the atmosphere during recent glacial periods.

### 4. Recognizing the Presence of C<sub>3</sub> and C<sub>4</sub> Ecosystems in the Paleorecord

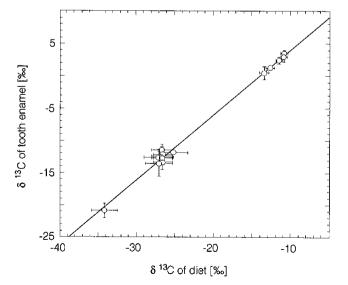
Carbon isotope ratios can be used to identify the presence of  $C_3$  versus  $C_4$  photosynthesis in the fossil records. Large differences in discrimination against  $^{13}\text{CO}_2$  by the initial carboxylation reactions in  $C_3$  (RuBP carboxylase) and  $C_4$  (PEP carboxylase) photosynthesis result in significant differences in the carbon isotope ratios ( $\delta^{13}\text{C}$ ) of  $C_3$  and  $C_4$  plants (Farquhar *et al.*, 1989). Modern  $C_3$  plants average approximately -27% and  $C_4$  plants average approximately -13% (Fig. 3). The observed ranges of  $\delta^{13}\text{C}$  values for both  $C_3$  and  $C_4$  plants are the result of genetic differences among taxa as well as responses to variations in environmental conditions, including light and water stress (Farquhar *et al.*, 1989; Ehleringer *et al.*, 1993; Buchmann *et al.*, 1996). Differences among  $C_4$  photosynthetic subtypes (NADP-me, NAD-me, and PCK) contribute as much as 1-2% to the range of values shown in Fig. 3 (Hattersley, 1982; 1983).

Animal tissues faithfully record the isotopic composition of their food sources (Tieszen *et al.*, 1983; Hobson, 1999), but often are not preserved in the fossil records or are subject to alteration



**FIGURE 3** Histograms of the carbon isotope ratios of modern grasses and modern tooth enamel; adopted from Cerling *et al.* (1997).

(diagenesis) during fossilization. However, tissues such as tooth enamel are preserved without subsequent modification, thus recording the original animal diet over periods of several million years (Lee-Thorp and van der Merwe, 1987). Tooth enamel



**FIGURE 4** Relationship between the carbon isotope ratio values of estimated diet and measured tooth enamel for ungulate mammals; adopted from Cerling and Harris (1999).

(bioapatite) is enriched 14.1% relative to a grazing mammal's diet (Fig. 4), resulting in a straightforward means of recording long-term feeding patterns by mammalian grazers (Cerling and Harris, 1999). The lower histograms in Figure 3 illustrate this offset between animals and their food sources, based on an accumulation of observations of  $\delta^{13}$ C values of apatite from a wide variety of grazing species (Cerling *et al.*, 1997; Cerling and Harris, 1999). It is important to note that the variation in plant  $\delta^{13}$ C values is similar in magnitude to the variation in toothenamel  $\delta^{13}$ C values. Thus, small variations in tooth-enamel  $\delta^{13}$ C values on the order of 1-2% are just as likely to represent variations in food quality associated with changing environmental conditions as variations in the abundances of  $C_3$  and  $C_4$  plants in the animal's diet or the changing carbon isotope ratio of the atmosphere.

### 5. Global Expansion of C<sub>4</sub> Ecosystems

Figure 5 shows that between 8 and 6 Ma there was a global expansion of C<sub>4</sub> ecosystems (Cerling et al., 1997, 1998a). There is no conclusive evidence for the presence of C4 biomass in the diets of mammals before 8 Ma (Cerling et al., 1997; 1998a), although the presence of small amounts of C4 biomass in diets is not excluded because of the uncertainty in the  $\delta^{13}C$  endmember for  $C_3$  plants. By 6 Ma there is abundant evidence for significant C<sub>4</sub> biomass in Asia (Cerling et al., 1993; 1997; Morgan et al., 1994), Africa (Morgan et al., 1994; Cerling et al., 1997), North America (Cerling, et al. 1993; MacFadden and Cerling, 1999; Cerling et al., 1999), and South America (MacFadden et al., 1996; Cerling et al., 1997), but not in Europe (Cerling et al., 1997). Figure 5 documents several different ecosystem type changes as recorded in mammalian tooth enamel. While each of these regions appears to have been dominated by C<sub>3</sub> ecosystems earlier in the Miocene, the C<sub>3</sub> Pakistani ecosystem was almost completely replaced by a C4 ecosystem; African, North American, and South American ecosystems retained both C<sub>3</sub> and C<sub>4</sub> components; European and northern portions of North American ecosystems did not show any change in the fraction of C<sub>3</sub> biomass, remaining at virtually 100% C<sub>3</sub> ecosystems. The mixture of C3 and C4 components within a grazing ecosystem can be achieved by one of two ways: a temporal separation with C<sub>3</sub> grasses active in winter-spring and C<sub>4</sub> grasses active in summer or a monsoonal system with C<sub>4</sub> grasses and C<sub>3</sub> woody vegetation. Without fine-scale analyses of the seasonal dynamics with tooth enamel, the isotopic record is silent as to which pattern existed.

The isotopic evidence is clear that the expansion of  $C_4$  ecosystems was a global phenomenon, persisting until today. It was accompanied by significant faunal changes in many parts of the world. It is unlikely that the global expansion of  $C_4$  biomass in the late Miocene was due solely to higher temperatures or to the development of arid regions. There have always been regions on earth with hot, dry climates. To explain the simultaneous global expansion of  $C_4$  plants requires a global phenomenon. Changes in

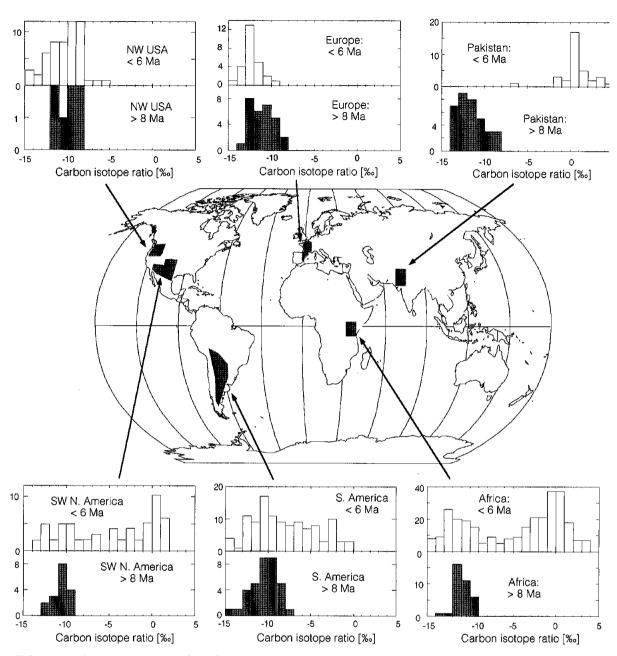


FIGURE 5 Histograms comparing the carbon isotope ratio values for fossil tooth enamel older than 8 Ma (lower charts) with those that are younger than 6 Ma for six regions of earth; adopted from Cerling et al. (1998a).

atmospheric CO<sub>2</sub> as predicted by the quantum-yield model are a strong possibility for this global mechanism. Supporting evidence indicates that the global expansion of C<sub>4</sub> ecosystems appears to have originated in warmer, equatorial regions and then spread to cooler regions, consistent with the temperature-sensitivity predictions of the quantum-yield model. Cerling *et al.* (1997) documented that within both modern and fossil horses (equids), the distributions of isotope ratios strongly support a decrease in the

importance of  $C_4$  photosynthesis in moving from warm equatorial to cooler temperate latitudes.

Stable-isotope studies of paleosols from Pakistan and East Africa are in good agreement with the paleodietary studies. The Siwalik sequence in Pakistan has excellent exposures covering the last 20 Ma.  $\delta^{13}$ C studies of paleosol carbonates show a virtually pure  $C_3$  ecosystem up to about 7.5 Ma ago, a transitional period of ecosystem change lasting 1–1.5 Ma, and then  $C_4$ -dominated

ecosystems from 6 Ma ago to nearly the present (Quade *et al.*, 1989; Quade and Cerling, 1995). Studies of fossil eggshell show that  $C_3$  plants were present throughout the sequence, even in the last 6 Ma (Stern *et al.*, 1994). Studies of paleosols in the Turkana Basin in Africa, covering in detail the period from about 7.5 Ma to the present, show mixed  $C_3/C_4$  ecosystems throughout this period (Cerling *et al.*, 1993; 1997).

# 6. C<sub>3</sub>/C<sub>4</sub> Dynamics during Glacial-Interglacial Periods

The quantum-yield model predicts that important changes in the global proportions of C<sub>4</sub> biomass occurred during the Pleistocene

glacial—interglacial transitions. Figure 1 shows that at very low atmospheric CO<sub>2</sub> levels, C<sub>4</sub> plants can be favored even at moderately low temperatures. The oscillation between glacial and interglacial conditions reflected an oscillation between about 180 and 280 ppmV (Fig. 2, middle), respectively, based on the CO<sub>2</sub> concentrations in the Antarctic ice cores (Petit *et al.*, 1999). The temperature change between the glacial and interglacial intervals varied globally, with estimated changes in temperature from about 5°C in the tropics (Stute *et al.*, 1995) to >15°C in the polar regions (Cuffey *et al.*, 1995). Therefore, the dCO<sub>2</sub>/dT gradient in the tropics was about 20 ppm/°C, compared to about 7 ppm/°C at high latitudes. Based on the slope of the C<sub>3</sub> /C<sub>4</sub> crossover at low atmospheric CO<sub>2</sub> levels (Fig. 1), it is possible that in some regions greater C<sub>4</sub> abundance would be expected in glacial conditions relative to interglacial conditions, because the "CO<sub>2</sub> starvation" effect would be more decisive than the "temperature" effect.

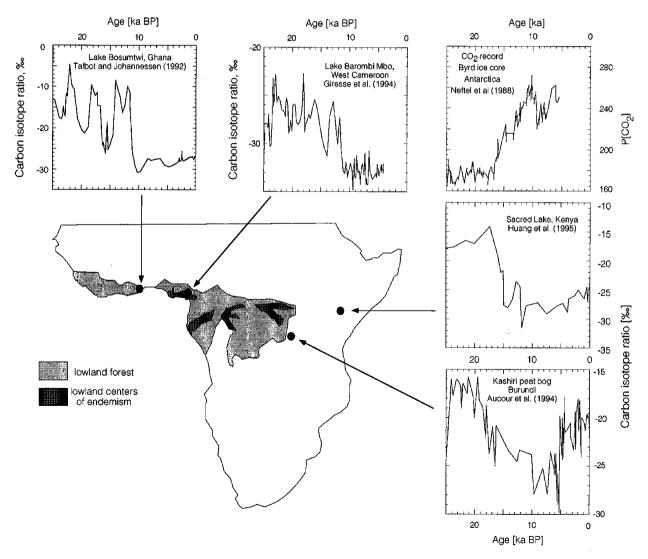


FIGURE 6 Chronological profiles of the carbon isotope ratio values of organic matter from lake sediments and bogs in central Africa. The data indicate that these areas all had more extensive C<sub>4</sub> biomass during the last glacial maximum (30–20 ka B.P. than during the Holocene (10 ka B.P. to present). Data are from Talbot and Johannessen (1992), Giresse et al. (1994) Aucour et al. (1994), and Neftel et al. (1988). Adopted from Cerling et al. (1998a).

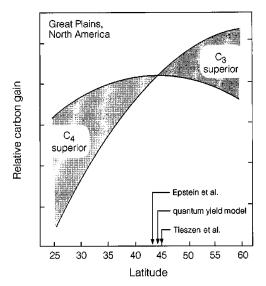


FIGURE 7 Predicted relative carbon gain by the quantum-yield model and therefore predicted competitive success by  $C_{3^-}$  and  $C_{4^-}$ grass canopies across the Great Plains of North America under today's atmospheric carbon dioxide levels. Noted are the predicted cross-over points from  $C_{3^-}$  to  $C_{4^-}$ dominance based on the quantum-yield model and the observations for soil organic matter (Tieszen *et al.*, 1997) and for aboveground harvests (Epstein *et al.*, 1997). Adopted from Ehleringer (1978).

Ehleringer et al. (1997) examined published reports of  $\delta^{13}$ C in peat bogs and lakes from Central Africa in regions that are currently dominated by rain-forest ecosystems. The available data strongly support the hypothesis of extensive  $C_4$  expansion during the last full glacial (Aocour et al., 1993; Hillaire et al., 1989)(Fig. 6). This implies extensive retreat of the African rain-forest ecosystems and has important implications for refugia during the Pleistocene which are discussed below. Farther east in Africa, sedimentary data from Sacred Lake in Kenya also show that  $C_4$  grasses were much more common during the glacial period when  $C_3$  vegetation would have been " $CO_2$  starved" (Street-Perrott et al., 1995; 1997; Huang et al., 1995; 1999). Following deglaciation, the  $C_4$  abundances in the Sacred Lake region exhibited a dramatic decline correlated with the increases in atmospheric  $CO_2$  levels.

Within North American ecosystems, there is also evidence that  $C_4$  ecosystems were more extensive during the last glacial period than they are today. Soil carbonates from the southwestern portions of North America show that  $C_4$  plants dominated the landscape during glacial periods, but are less abundant in these aridland ecosystems today (Cole and Monger, 1994; Liu *et al.*, 1996; Monger *et al.*, 1998). Dietary analyses of fossil herbivores from western North America also provide convincing evidence of widespread  $C_4$  abundance in regions that have a near absence of  $C_4$  grasses today (Connin *et al.*, 1998). While the mechanisms for the observed decline in  $C_4$  abundance in North America require further study, the dramatic decrease in  $C_4$  plants is correlated with the transition out of the glacial and the abrupt increases in atmospheric  $CO_2$  levels.

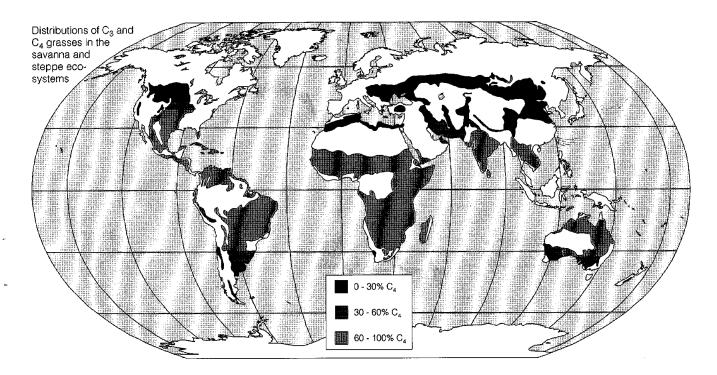


FIGURE 8 Predicted distributions of C<sub>3</sub> and C<sub>4</sub> grasses in steppe and savanna ecosystems of the world. These are the only two ecological regions where grasses are a significant fraction of the vegetation. Distribution of ecological regions is based on Bailey (1998) and the partitioning of photosynthetic pathways is based on the synthesis in Sage and Monson (1999).

# 7. Photosynthetic Pathway Distribution in the Modern World

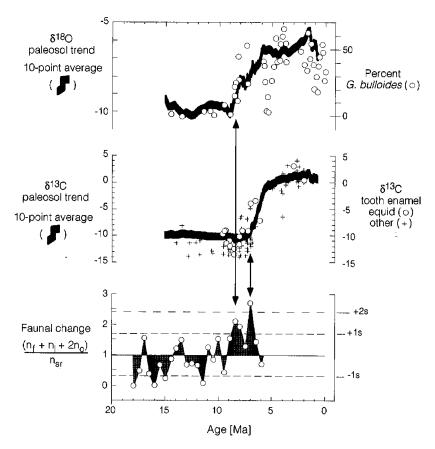
The current distributions of  $C_4$  plants within grassland ecosystems at an atmospheric  $CO_2$  level of 350 ppmV are well predicted by the quantum-yield model (Fig. 1). Across the Great Plains of North America, the crossover between  $C_3$ - and  $C_4$ -dominated grasslands is predicted to occur at a latitude of approximately 45°N (Figure 7). Both long-term aboveground harvest studies (Epstein *et al.*, 1997) and belowground soil organic carbon studies (Tieszen *et al.*, 1997) independently indicate a  $C_3/C_4$  transition near 45°N. In the case of  $C_3/C_4$  grasses from the Great Plains as well as all other monocot studies, the relationships between  $C_3$  and  $C_4$  grass abundances were all very highly correlated with temperature (Ehleringer *et al.*, 1997). In most of these studies, >90% of the variance in  $C_3/C_4$  abundance in today's ecosystems is explained by temperature alone.

Collatz et al. (1998) extended predictions of the quantum-yield model to the global scale (Fig. 8). Their model predicted that C<sub>4</sub> abundances are expected in all geographical regions where the

monthly mean temperature exceeds 22°C (the crossover temperature) and where precipitation exceeds 25 mm (i.e., the soil must be wet for plants to grow). This model predicts a much broader distributional range for  $C_4$  taxa than is observed for undisturbed ecosystems, with  $C_4$  taxa extending into currently forested regions of tropical and subtropical latitudes. However, when the competitive advantage of tree height is factored in, the Collatz *et al.* extrapolation correctly predicts the observed  $C_3/C_4$ -grass abundances on a global basis (Fig. 8).

## 8. Photosynthetic Pathway Impacts Herbivores

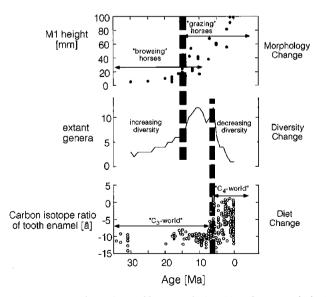
Megafaunal changes are correlated with a shift between  $C_3$ - and  $C_4$ -dominated ecosystems. Several lines of evidence suggest relationships between turnover of mammalian grazing taxa and the shifts between  $C_3/C_4$  vegetation types. Cerling *et al.* (1998a) reported abrupt changes in mammalian lineages in East Africa asso-



**FIGURE 9** Faunal Change Index from the Pakistan, represented by the number of first  $(n_t)$  and last  $(n_l)$  occurrences, including only occurrences  $(n_o)$ , normalized to species richness  $(n_{sr})$ . The Faunal Change Index is normalized to 1.0 for the total data set. Adopted from Cerling *et al.* (1998b).

ciated with the transition from C<sub>3</sub>-dominated to C<sub>3</sub>/C<sub>4</sub>-dominated ecosystems. During the same time period, Cerling et al. (1998b) showed that abrupt changes in faunal diversity of Pakistani mammals occurred at the same time as the emergence of C<sub>4</sub>-dominated ecosystems in Pakistan (Fig. 9). Evolutionary relationships between C<sub>3</sub>/C<sub>4</sub> and horses appear to be somewhat different (Mac-Fadden and Cerling, 1996; MacFadden et al., 1996). Evolution of the modern horse is associated with the transition from "browsing" to "grazing" horses, which is typically marked by the lengthening of the M1 molar, creating the high-crowned tooth (Fig. 10). However, the evolution of the M1 tooth and the increased diversity of horse taxa was not associated with the global expansion of C<sub>4</sub> ecosystems, because these changes occurred in a C<sub>3</sub> world. However, the crash in biological diversity of horses at 6 Ma is associated with the expansion of C<sub>4</sub>-dominated ecosystems into regions that once contained only C<sub>3</sub> plants (Fig. 10).

Modern mammalian herbivores exhibit strong preferences for  $C_3$  versus  $C_4$  diets (Fig. 11), with only limited numbers of examples of mixed  $C_3$  / $C_4$  feeding (Figure 3). While it may be difficult to quantify the exact percentages of  $C_3/C_4$  within the diets of some mammals, it is possible to classify the extreme grazers and browsers: hypergrazers with nearly 100%  $C_4$  grass and hyperbrowsers with nearly 100%  $C_3$  browse. It is remarkable that the herbivore mammals of the savannahs and grasslands of Africa falls into such distinct  $C_3/C_4$  dietary categories, with extreme hypergrazers such as the wildebeest standing distinct from grazers such as the oryx and zebra (Fig. 11). The modern African elephant



**FIGURE 10** A chronology of horse evolution. Top plate: morphological changes in the height of the M1 tooth. Middle plate: diversity changes as recorded by the number of extant genera. Bottom plate: carbon isotope ratios of tooth enamel illustrating that the transition from browsing horses to grazing horses was not associated with expansion of  $C_4$  ecosystems, but that the loss of genera was correlated in time with  $C_4$  expansion. Adopted from Cerling (1999).

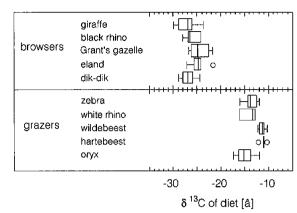


FIGURE 11 Ranges in the carbon isotope ratios of diets for African browsers and grazers. Adopted from Cerling et al. (1999).

(Loxodonta) is often regarded as a grazing animal, yet its isotopic composition strongly shows that these animals are distinctly  $C_3$  browsers (Cerling et al., 1999). In contrast, a million years ago elephants were distinctly grazers.

The selective basis for differential C<sub>3</sub>/C<sub>4</sub> herbivory may be related to the differential distributions of leaf protein within C<sub>3</sub> and C<sub>4</sub> leaves (Ehleringer and Monson, 1993). In C<sub>3</sub> plants, relatively high protein levels are found in most mesophyll cells. These cells have relatively thin cell walls, especially when compared to the much thicker bundle-sheath cell walls (Brown, 1977). In contrast, there is relatively more protein within bundle-sheath cells of C<sub>4</sub> leaves than in mesophyll cells. Thus, tooth morphology in mammalian grazers would be expected to play a role in determining whether or not animals were able to extract sufficient protein from their C<sub>3</sub>/C<sub>4</sub> diet. Insects such as grasshoppers show a strong preference for C<sub>3</sub> or C<sub>4</sub> food sources, but typically not for both (Isely, 1946; Caswell et al., 1973; Boutton et al., 1978; Ehleringer and Monson, 1993). Here it is known that there are significant differences in mandible morphology correlated with C<sub>3</sub>/C<sub>4</sub> dietary preference.

### 9. Summary

The current distribution of  $C_3$  and  $C_4$  photosynthetic pathways in today's ecosystems is a strong function of temperature. Changing atmospheric  $CO_2$  levels modify this geographical distribution. The global emergence of  $C_4$ -dominated ecosystems in the late Miocene suggests that atmospheric  $CO_2$  levels decreased across a threshold of  $\sim 500$  ppmV favoring  $C_4$  photosynthesis over  $C_3$  photosynthesis in warm ecosystems. More recently during glacial periods when atmospheric  $CO_2$  levels decreased to 180 ppmV,  $C_4$  taxa were apparently more abundant than they are today. These changes in  $C_3/C_4$  abundances have had enormous impacts on both evolution and distribution of mammalian grazers. The mechanistic basis for this impact on mammal herbivory may be feeding preferences associated with differential digestibility of  $C_3$  versus  $C_4$  grasses.

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