# Photosynthetic responses to slowly decreasing leaf water potentials in Encelia frutescens

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Abstract. The importance of reduced leaf conductance (stomatal and boundary layer) in limiting photosynthetic rates during water stress was studied in Encelia frutescens, a drought-deciduous leaved subshrub of the Mohave and Sonoran Deserts. Light-saturated CO2 assimilation rates of greenhouse grown plants decreased from 42.6±1.6 μmol  $CO_2 \text{ m}^{-2} \text{ s}^{-1} (\bar{x} \pm \text{s.e.}) \text{ to } 1.7 \pm 1.7 \text{ } \mu\text{mol } CO_2 \text{ m}^{-2} \text{ s}^{-1} \text{ as}$ leaf water potential decreased from -1.5 MPa to -4.0 MPa. The dependence of light saturated CO<sub>2</sub> assimilation rate on leaf intercellular CO2 concentrations between 60 and 335 μl l<sup>-1</sup> was also determined as leaf water potential declined. This enabled us to compare the effects of leaf water potentials on limitations to carbon assimilation imposed by leaf conductance and by intrinsic photosynthetic capacity. Both leaf conductance and intrinsic photosynthetic capacity decreased with decreasing leaf water potential, but the decrease in leaf conductance was proportionately greater. The relative stomatal limitation, defined as the percent limitation in photosynthetic rate due to the presence of gas-phase diffusional barriers, increased from  $11 \pm 2$  ( $\bar{x} \pm \text{s.e.}$ ) to  $41 \pm 3\%$  as water potentials became more negative. Since both leaf conductance and intrinsic photosynthetic capacity were severely reduced in an absolute sense, however, high photosynthetic rates could not have been restored at low leaf water potentials without simultaneous increases in both components.

#### Introduction

Encelia frutescens (Gray) is a drought-deciduous leaved subshrub of the Mohave and Sonoran Deserts. Over its distribution, the amount and timing of precipitation are highly unpredictable on both a seasonal and annual basis. In response, E. frutescens is opportunistic, exploiting periods of high soil moisture whenever they occur. Under favorable soil moisture conditions, E. frutescens is characterized by high growth rates and copious flowering. While the exhaustion of soil water reserves eventually leads to leaf abscission and dormancy, leaves of E. frutescens may also experience varying degrees of intermittent water stress during the time that the plants bear leaves, because of the unpredictable nature of additional rainfall. The effect of decreasing leaf water potentials on the photosynthetic behavior of E. frutescens should, therefore, be of great importance in understanding how net carbon gain is regulated over the growing season.

A reduction in photosynthetic rate with decreasing leaf water potential has been observed in virtually all plants (Hsiao and Acevedo 1974). The rate at which photosynthesis declines as leaf water potential  $(\psi_i)$  becomes more negative, however, differs widely (Odening et al. 1974; Lawlor 1976; Ludlow 1976; Mooney et al. 1976; O'Toole et al. 1977; Björkman et al. 1979; Ehleringer 1983; Forseth and Ehleringer 1983). Similarly, leaf conductance (stomatal+ boundary layer) to water vapor (g) also decreases with decreasing  $\psi_t$ , but as with photosynthetic rates there is considerable variation in the rate of decline depending on species and pretreatment (Hsiao and Acevedo 1974; Ludlow 1980). If the intrinsic photosynthetic capacity of the leaf is unaffected by decreasing  $\psi_i$ , then the decreases in photosynthetic rate with decreasing  $\psi_I$  will be due to decreases in g. However, proportional decreases in g and intrinsic photosynthetic capacities would mean that stomatal behavior is only partially responsible for decreased photosynthetic rate at low  $\psi_I$ . The relative decreases in g and intrinsic photosynthetic capacities seem to vary between plants (Boyer 1970; Redshaw and Meidner 1972; Osonubi and Davies 1980; Forseth and Ehleringer 1983).

Farquhar and Sharkey (1982) suggest that stomatal limitations to photosynthesis during water stress have generally been overestimated. This can result from comparing g to a residual CO<sub>2</sub> conductance, often referred to as the mesophyll or carboxylation efficiency conductance (Ku and Edwards 1977), which describes the efficiency with which CO, in the leaf intercellular air spaces diffuses to and is incorporated in carboxylation reactions. The error lies in assuming that, if the intercellular  $CO_2$  concentration  $(c_i)$  were to increase due to increases in stomatal conductance, then photosynthetic rate (A) would also increase in a linear manner. If the relationship between A and  $c_i$  deviates greatly from linearity becoming concave at high  $c_i$ , then comparisons of g and carboxylation efficiency determined at low  $c_i$  become difficult to interpret.

The purpose of this study was to determine the stomatal and non-stomatal limitations to photosynthesis as plants were subjected to progressively lower soil water potentials over a period of several weeks. To do this, we measured the dependence of photosynthesis on  $c_i$  for leaves of *Encelia* frutescens. Stomatal and non-stomatal limitations to photosynthesis will be discussed relative to one another at specific water potentials and absolutely in respect to the overall

decline in photosynthetic rate with decreasing  $\psi_1$ . The resulting photosynthetic behavior is discussed relative to plant performance and potential adaptive value in the native habitat.

## Methods

Field collected seeds of *Encelia frutescens* were planted and grown in the greenhouse at the University of Utah, Salt Lake City, Utah. When the plants were six months old, and two months prior to beginning measurements, the plants were transplanted into 40 liter peat pots. The relatively large soil volume was desirable for controlling soil and plant water potential during the drought phase of the experiment. Plants were grown in a naturally lit greenhouse supplemented with HID lamps to create daily photon irradiance totals of 40–50 mol m<sup>-2</sup> (400–700 nm). Daily temperature ranges were approximately 35/25° C and midday relative humidity was approximately 10%. At the time photosynthetic measurements were taken, the study plants were 0.4–0.5 m in height and 0.5–0.6 m in diameter.

The potting soil consisted of two parts vermiculite, two parts perlite, two parts peat moss, four parts redwood compost, one part sand, and four parts autoclaved soil. Phosphate was available in the form of superphosphate present in the potting soil. Nitrogen was administered as potassium nitrate, calcium nitrate and urea (2%) dissolved in the water supply once a week at a total concentration of 150 ppm. Plants undergoing a drought treatment were watered with deionized water supplemented every 4–6 days with Hoagland's solution.

Leaf water potentials were measured with a Scholander pressure chamber. The leaf water potential  $(\psi_l)$  was assumed to be equal to the xylem pressure potential of whole twigs including the uppermost 4 to 6 leaves. Reported values are averages of three replicates taken at midday when leaf water potentials were most negative. The leaf water potential is a function of both the predawn leaf water potential and the transpiration rate, and should be expected to fluctuate diurnally. However, in our experiments the midday leaf water potential was effectively constant throughout most of the day, because of the constant environmental conditions in the glasshouse. At high  $\psi_l$ , the maximum diurnal variation was about 1.0 MPa, and at low  $\psi_l$  about 0.3 MPa.

Water stress was induced by decreasing the frequency of and amount given in watering,  $\psi_i$ , of experimental plants was monitored on a daily basis and study plants were watered as necessary to maintain desired soil water potential values. This meant that the extent of watering ranged from saturating the entire soil volume every other day, in order to maintain the highest soil water potentials, to providing only 1.4 l water every two to three days in order to maintain the lowest soil water potentials. At least three to four days elapsed between decreased in the amount of water provided and measurements of photosynthetic parameters at the resultant, lowered  $\psi_1$ ,  $\psi_1$  decreased from -1.5 to -4.0 MPa over a period of several weeks under the stepwise decreasing watering regime used during the drought cycle. The maximum difference between predawn and midday  $\psi_i$  was about 1.0 MPa. Diurnal fluctuations in  $\psi_i$  of up to 1.0 MPa also occurred immediately after watering when plants were at low  $\psi_t$ , but decreased to about 0.3 MPa within 1–2 days thereafter. Photosynthetic measurements of water stressed

plants were not taken until several days after the plants received supplemental watering. Plants at high  $\psi_i$  exhibited no increase in  $\psi_i$  following watering.

Gas exchange measurements were conducted on an open gas-exchange system as described by Ehleringer (1983). Due to the small size of individual leaves (100–250 mm²), whole twigs with the uppermost four or five attached leaves were used for gas exchange measurements. Gas exchange by stem tissues was negligible compared to that of the leaves and was ignored in all calculations.

To observe the effect of growth temperature on the temperature dependence of photosynthesis, plants were grown in controlled environments with 14 h/10 h day/night temperature regimes of 20/15° C and 35/25° C. Plants grown in the two different temperature regimes, unfortunately, experienced somewhat different light intensities during growth and this effected the absolute photosynthetic rates. Therefore, these data are presented as relative photosynthetic rates. The procedures followed for measurements of the temperature dependence of photosynthesis were similar to those of Forseth and Ehleringer (1983).

For simultaneous measurement of photosynthetic rate  $(A_{\text{max}})$ , leaf conductance (stomatal+boundary layer) (g), and intercellular  $CO_2$  concentration  $(c_i)$ , attached leaves were sealed into a cuvette with an irradiance of 1.8 mmol photons m<sup>-2</sup> s<sup>-1</sup> (400–700 nm), ambient  $CO_2$  concentration of 335  $\mu$ l l<sup>-1</sup>, 21%  $O_2$ , leaf temperature at 30° C (the thermal optimum), and a  $\Delta$ w of 21 mbar bar<sup>-1</sup>. Measurements of  $A_{\text{max}}$ , g, and  $c_i$  were taken as the values obtained following a one to two hour period of adjustment by the leaf to the cuvette conditions.

The dependence of photosynthesis on  $c_i$  was determined on the same leaves after measurements of  $A_{\text{max}}$ . The same light level, Aw, and leaf temperature were used as during measurement of  $A_{\text{max}}$ . The  $c_i$  was controlled by altering the ambient  $CO_2$  concentration in the cuvette.  $A_{max}$  was the first point on this response curve. Ambient CO2 concentration was then increased in steps of 100–200 µl 1<sup>-1</sup>. High  $c_i$  values were difficult to obtain at very low  $\psi_i$  because of stomatal closure. After measuring rates of photosynthesis at high  $c_i$ , ambient  $CO_2$  concentration was returned to 335  $\mu$ l  $1^{-1}$  and the leaves were given 0.5–1.0 h to recover before proceeding with measurements. There was a decrease in g at high ambient CO<sub>2</sub> concentrations, which often failed to fully recover when ambient CO2 concentrations were reduced. The leaves were considered to have recovered sufficiently if photosynthetic rates were the same at the same  $c_i$  previously measured for  $A_{\rm max}$ . Ambient CO<sub>2</sub> concentrations were then decreased in steps of 100  $\mu$ l l  $^{-1}$  to measure photosynthetic rates at low  $c_i$ . The initial slope of  $\Lambda$  vs  $c_i$  was calculated from points with  $c_i$  between 60 and 150  $\mu$ l  $l^{-1}$ .

In calculating  $\Delta w$ , the partial pressure of water vapor in the intercellular airspaces of the leaf was assumed to be in equilibrium with the leaf water potential. This resulted in an intercellular relative humidity of 97% rather then 100% at leaf water potentials of -4.0 MPa (Nobel 1974). The calculation of  $c_i$  included a correction for the effect of transpiration rate on the diffusion of  $CO_2$  into the leaf (von Caemmerer and Farquhar 1981).

All of the work reported here was done at the University of Utah at an elevation of 1,460 m. Total ambient pressure averages 86 kPa compared to 101.3 kPa at sea level. When

presenting the dependence of photosynthetic rate on  $c_i$ , CO<sub>2</sub> concentrations may be expressed either as absolute partial pressures in pascals or as fractions of the total atmosphere in  $\mu l l^{-1}$ . As total atmospheric pressure declines, both the partial pressures of CO2 and O2 decline proportionately. Decreases in the CO<sub>2</sub> partial pressure cause decreases in photosynthetic rates by substrate limitation, but these are partially compensated for by decreased competitive inhibition by oxygen in RuBP carboxylase. Because of these simultaneous and opposing effects of decreasing total atmospheric pressure on photosynthetic rates, neither measurements of CO2 in pascals nor µl 1-1 provides perfectly comparable results when measurements are made at different atmospheric pressures. The model of Farquhar et al. (1980) was said to estimate the effect of low atmospheric pressure on the reported relationships between net photosynthesis and  $c_i$ , assuming constant RuBP saturation and a constant RuBP carboxylase activity. This model enabled us to compare the photosynthetic rate at a particular c, for measurements made at an atmospheric pressure of 101.3 kPa and those made on the same leaf at an atmospheric pressure of 86 kPa while holding all other parameters constant. The initial slope of A vs c, measured at 86 kPa atmospheric pressure will appear to be either about 4% greater or 11% less than those made at sea level depending on whether  $c_i$  is expressed in pascals or  $\mu l l^{-1}$ , respectively. Neither unit avoids slight problems in comparing data sets measured at different elevations and atmospheric pressures. We have chosen to express  $c_i$  in  $\mu l l^{-1}$  because 1) it is advantageous for graphical manipulations and 2) the initial slope of the response curve relating photosynthesis as a function of  $c_i$  ( $\partial A/\partial c_i$  in this paper, and the mesophyll conductance of many authors) then has the same units as leaf conductance (mol m<sup>-2</sup> s<sup>-1</sup>). The  $\partial A/\partial c_i$  values reported here are therefore expected to be slightly less than those of a comparable data set measured at sea level and expressed in the same units.

All regressions discussed in the text were significant at the 0.005 level or better. Regression equations,  $r^2$  values and significance levels for the slopes are given in figure legends. Confidence intervals given in the text are  $\pm 1$  standard error.

### Results

Before analyzing the response to drought, the temperature dependence of photosynthesis was determined at leaf temperatures ranging between 15 and 48° C. To assess the ability of E. frutescens to acclimate to changing environmental temperatures, plants were grow in growth chambers with contrasting temperature regimes, and the effect of growth conditions was then measured by comparing the photosynthetic performance of plants from both treatments under common conditions. Leaves of E. frutescens showed a pattern consistent with observations in other *Encelia* species; there was no change in either the temperature optimum or the relative rates at which photosynthesis declined at temperatures above or below the optimum in response to different thermal growth conditions (Fig. 1). The thermal optimum for plants from both high and low temperature treatments was approximately 30° C. Photosynthetic rates remained in excess of 80% of maximum between 18 and 41° C, but declined steeply outside this range. All subsequent work during the drought experiments was done using

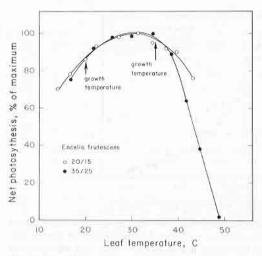


Fig. 1. The effect of growth temperature on the temperature dependence of photosynthesis in *Encelia frutescens*. Plants were grown in controlled environments at day/night temperature regimes of 20/15° C and 35/25° C. Curves are relativized for comparison of temperature optima. Arrows indicate ambient temperatures during growth

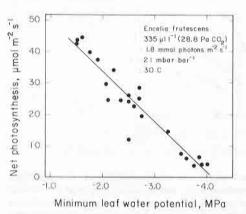


Fig. 2. The effect of decreasing minimum daily leaf water potential  $(\psi_l)$  on net photosynthesis  $(A_{\rm max})$  in  $\mu{\rm mol~CO}_2~{\rm m}^{-2}~{\rm s}^{-1}$  measured at an irradiance of 1.8 mmol photons  ${\rm m}^{-2}~{\rm s}^{-1}$  (400–700 nm), leafair vapor pressure difference ( $\Delta{\rm w}$ ) of 21 mbar bar  $^{-1}$ , leaf temperature of 30° C, ambient CO $_2$  concentration of 335  $\mu{\rm l~I}^{-1}$  (= 28.8 Pa), and an oxygen concentration of 21% (=18 kPa). The equation of the regression line is  $A_{\rm max}$  = 15.9 \*  $\psi_l$  + 65.5 ( $r^2$  = 0.905, P < 0.001)

leaf temperatures within  $\pm 0.2^{\circ}$  C of the 30° C thermal optimum.

Photosynthetic characteristics were very responsive to changes in leaf water potential  $(\psi_l)$  measured at its midday minimum. The net photosynthetic rate  $(A_{\rm max})$  under favorable conditions of high irradiance, moderate leaf-air water vapor pressure gradients  $(\Delta {\rm w})$ , and optimal leaf temperature, was measured on leaves of plants subjected to decreasing soil water availability over a period of several weeks.  $A_{\rm max}$  decreased linearly with decreasing  $\psi_l$  with a slope of  $15.9 \pm 1.1$  µmol CO<sub>2</sub> m<sup>-2</sup> s<sup>-1</sup> MPa<sup>-1</sup> (P < 0.001) (Fig. 2). Photosynthetic rates decreased from  $41.6 \pm 1.6$  to  $1.7 \pm 1.7$  µmol m<sup>-2</sup> s<sup>-1</sup> as  $\psi_l$  decreased from -1.5 to -4.0 MPa. Midday  $\psi_l$  remained at -1.5 MPa in potted plants that received watering every other day and were subjected to an environment with high irradiance and high

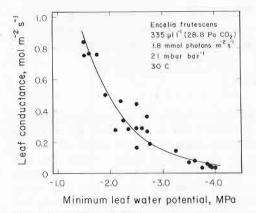


Fig. 3. The effect of minimum daily leaf water potential  $(\psi_i)$  on leaf conductance (g) under the conditions defined in Fig. 2. The equation of the regression line is  $\log(g) = 0.517 * \psi_i + 0.724 (r^2 = 0.928, P < 0.001)$ 

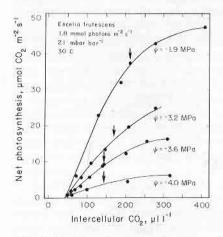
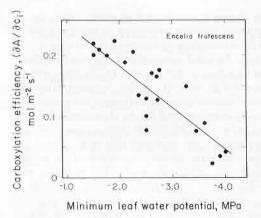


Fig. 4. The dependence of net photosynthesis (A) in  $\mu$ mol CO<sub>2</sub> m<sup>-2</sup> s<sup>-1</sup>, on intercellular CO<sub>2</sub> concentration ( $c_i$ ) in  $\mu$ l l<sup>-1</sup> at four different values of leaf water potential ( $\psi_i$ ). Ambient conditions in the cuvette were the same as those defined for Fig. 2, only ambient CO<sub>2</sub> was varied to control  $c_i$ . Arrows indicate the  $c_i$  measured simultaneously with  $A_{\max}$  when plants were at the four respective values of  $\psi_i$ 

evaporative demand during growth. Field observations (Ehleringer unpublished data) suggest that midday  $\psi_l$  for E. frutescens are rarely higher than -1.5 MPa at any time of the year.

Decreases in  $A_{\text{max}}$  were associated with decreases in leaf conductance to water vapor (g). Values of g measured simultaneously with  $A_{\text{max}}$  declined in a curvilinear fashion as  $\psi_I$  decreased (Fig. 3). g decreased rapidly with decreases in  $\psi_I$  when  $\psi_I$  was high, but approached zero asymptotically as  $\psi_I$  became very negative.

The relationship between net photosynthesis (A) and the intercellular  $CO_2$  concentration  $(e_i)$  was determined at different values of  $\psi_i$  (representative curves in Fig. 4). As  $\psi_i$  became more negative, there was a decrease in both the initial slope at low  $c_i$   $(\partial A/\partial c_i)$  (often referred to as the carboxylation efficiency) (Fig. 5) and the maximum photosynthetic rate at high  $c_i$  (Fig. 6). Using linear regressions from the data in Figs. 5 and 6, we estimated that  $\partial A/\partial c_i$  and the photosynthetic rate at a  $c_i$  of 335  $\mu$ l  $I^{-1}$  decreased by 78% and 86%, respectively, from their original values as  $\psi_i$  decreased from -1.5 to -4.0 MPa.



**Fig. 5.** The carboxylation efficiency or slope of the initial, linear region of the  $c_i$  dependence curve for net photosynthesis  $(\partial A/\partial c_i)$  in mol m<sup>-2</sup> s<sup>-1</sup> as a function of decreasing minimum daily leaf water potential  $(\psi_i)$ . The equation of the regression line is  $\partial A/\partial c_i = 0.679 * \psi_i + 0.320 (r^2 = 0.733, P < 0.001)$ 

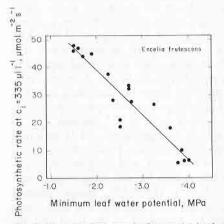


Fig. 6. The net photosynthetic rate  $(A_0)$  when  $c_i$  equals the ambient CO<sub>2</sub> concentration (335  $\mu$ l l<sup>-1</sup>) used during the measurement of  $A_{\rm max}$ .  $A_0$  is shown as a function of decreasing minimum daily leaf water potential  $(\psi_i)$ .  $A_0$  was taken from  $c_i$  dependence curves of photosynthesis such as those shown in Fig. 4. The equation of the regression line is  $A_0 = 16.18 * \psi_i + 71.46$  ( $r^2 = 0.863$ , P < 0.001)

Kjeldahl nitrogen was determined in all experimental leaves immediately following photosynthetic measurements. A linear relationship ( $r^2 = 0.38$ ; P < 0.005) was observed between  $\partial A/\partial c_i$  and Kjeldahl nitrogen (Fig. 7). If diffusional limitations to CO2 movement between the intercellular spaces and the sites of carboxylation are small relative to the limitation to photosynthesis imposed by the carboxylation step itself (which appears to be the case, Farquhar and Sharkey 1982), then  $\partial A/\partial c_i$  should be directly proportional to the activity of RuBP carboxylase in the leaf (Collatz 1977; Farquhar et al. 1980; von Caemmerer and Farguhar 1981). Since RuBP carxoylase has been found to be a large and consistent fraction of total leaf protein (Jensen and Bahr 1977), and since both total leaf protein and RuBP carboxylase have been found to be well correlated with Kjeldahl nitrogen in many species (Seemann et al. 1980b), the relationship between Kjeldahl nitrogen and  $\partial A/\partial A$  $\partial c_i$  is consistent with the interpretation that the decrease in  $\partial A/\partial c_i$  was associated with a linear decrease in RuBP carboxylase.

The  $c_i$  observed in leaves of E. frutescens at the time

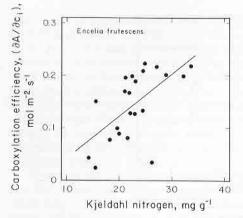


Fig. 7. The carboxylation efficiency or slope of the linear portion of the  $c_i$  dependence curve  $(\partial A/\partial c_i)$  in mol m<sup>-2</sup> s<sup>-1</sup> as a function of Kjeldahl nitrogen in mg g<sup>-1</sup>. The equation of the regression line is  $\partial A/\partial c_i = 0.00813 *$  (Kjeldahl Nitrogen) -0.0405 ( $r^2 = 0.385$ , P < 0.005)

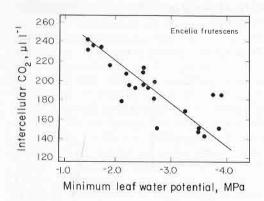


Fig. 8. The intercellular CO<sub>2</sub> concentration ( $c_i$ ) measured under the conditions defined in Fig. 2.  $c_i$  is shown as a function of minimum daily leaf water potential ( $\psi_i$ ). The quation of the regression line is  $c_i = 3.12 * \psi_i + 274.8$  ( $r^2 = 0.683$ , P < 0.001)

of measuring  $A_{\text{max}}$  (Fig. 8) depends on both the conductance to  $CO_2$  diffusion  $(g_{CO_2} = g/1.6)$  into the leaf and the capacity of the leaf to utilize internally available CO2 for photosynthetic carboxylations. The arrows on Fig. 4 indicate the observed  $c_i$  under the ambient conditions of  $A_{max}$ at four  $\psi_i$  values. It is evident that  $c_i$  measured at  $A_{\max}$ is on or just slightly above the linear region described by  $\partial A/\partial c_i$ . This meant that the most important factors responsible for determining  $c_i$  were g and  $\partial A/\partial c_i$ . If the inherent capacity of the leaf for CO2 assimilation and the conductance to CO<sub>2</sub> diffusion decreased in a perfectly proportional manner as  $\psi_i$  decreased, then  $c_i$  should have remained constant (Farquhar and Sharkey 1982). Instead of a balanced decrease in these parameters and a constant  $c_i$ , we observed that in leaves of E. frutescens,  $c_i$  decreased as  $\psi_i$  became more negative. This indicated that g decreased relatively more than  $\partial A/\partial c_i$ .

Water Use Efficiency (WUE) was calculated as the ratio of  $\mu$ mol CO<sub>2</sub> fixed/mmol H<sub>2</sub>O transpired during measurement of  $A_{max}$ . Although leaf temperature and  $\Delta w$  were held constant throughout the experiment, WUE increased from

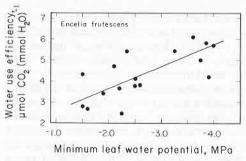


Fig. 9. Water use efficiency (WUE), measured under cuvette conditions of constant leaf temperature and vpd as defined in Fig. 2. WUE is shown as a function of decreasing minimum daily leaf water potential ( $\psi_l$ ). WUE is calculated as the ratio of the photosynthetic and transpiration rates,  $\mu$ mol CO  $_2$ /mmol H $_2$ O. The equation of the regression line is WUE =  $-0.899 * \psi_l + 1.87 (r^2 = 0.475, P < 0.005)$ 

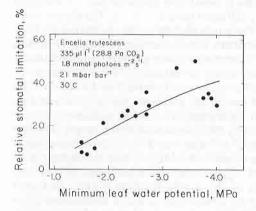


Fig. 10. Relative stomtal limitation as a function of minimum daily leaf water potential  $(\psi_i)$ . Values are calculated as the percent difference between net photosynthesis with infinite conductance,  $A_0$ , and the actual rate measured as  $A_{\text{max}}$ . The equation of the regression line is arcsin  $(l_s/100) = -0.848 * \log(\psi_i) + 0.184 (r^2 = 0.742, P < 0.001)$ 

 $3.2\pm0.32$  to  $5.4\pm0.35$  µmol mmol<sup>-1</sup> as  $\psi_i$  decreased from -1.5 to -4.0 MPa (Fig. 9). The observed 59% increase in WUE was a consequence of the decrease in  $c_i$  and was caused by the disproportionately large decreases in g compared to  $\partial A/\partial c_i$ .

Farquhar and Sharkey (1982) proposed a measure of relative stomatal limitation,  $I_s$ , defined as the percent reduction in photosynthesis below the rate which would occur if stomatal conductance were infinite.

$$l_s = \left[ \frac{A_0 - A_{\text{max}}}{A_0} \right] * 100.$$

The photosynthetic rate at infinite stomatal conductance  $(A_0)$  is the photosynthetic rate when  $c_i$  is equal to ambient  $CO_2$  concentration (335  $\mu$ l  $1^{-1}$ , 28.8 Pa) on the A vs.  $c_i$  dependence curve, if we ignore boundary layer conductance, which for a small leaved shrub like E. frutescens will be quite large. Calculating  $l_s$  for leaves of E. frutescens under increasing water stress (Fig. 10), revealed an increase in  $l_s$  from  $10.7 \pm 2.2$  to  $40.9 \pm 3.2\%$  as  $\psi_l$  decreased from -1.5 to -4.0 MPa.

## Discussion

The relative stomatal limitation to photosynthesis,  $l_s$ , takes into account the changing nature of the dependence of photosynthesis on  $c_i$ . Thus,  $l_s$  depends on many factors and increases in  $l_s$  can be caused by 1) decreases in g, 2) decreases in  $\partial A/\partial c_i$ , or 3) increases in  $A_0$  (increases in RuBP regeneration capacity). An increase in  $l_s$  could mean that  $\partial A/\partial c_i$  has decreased relatively more than  $A_0$ . However, our data (Figs. 5, 6) indicated that  $A_0$  has actually decreased more rapidly than  $\partial A/\partial c_i$  as water stress developed. The decrease in  $l_s$  was due to disproportionately larger decreases in g rather than a change in the shape of the A vs.  $c_i$  dependence curve as  $\psi_i$  became more negative.

We may further ask to what degree the absolute decline in photosynthesis with decreasing  $\psi_l$  is attributable to changes in g vs. changes in intrinsic capacities. This may be seen by examining A vs.  $c_i$  curves at high and low  $\psi_l$ , and using the notion of supply functions (Farquhar and Sharkey 1982; Jones 1973; Raschke 1979) (Fig. 11). The slope of a supply function is equal to a specified leaf conductance to gaseous  $CO_2$  diffusion (mol m<sup>-2</sup> s<sup>-1</sup>). The supply functions describe the photosynthetic rates permitted by  $CO_2$  diffusional limitations. The intersection of a supply function with an A vs.  $c_i$  response curve gives the photosynthetic rate and  $c_i$  which would be realized for those conditions.

Two supply functions are shown in Fig. 11 representing the highest and lowest leaf conductances (g) observed during the drought experiment. The lowest measured value of  $A_{\text{max}}$  is indicated by the intersection of the supply function  $g = 0.041 \text{ mol m}^{-2} \text{ s}^{-1}$  with the A vs.  $c_i$  dependence curve measured when  $\psi_1$  was -4.0 MPa. One of the highest measured values of  $A_{\text{max}}$  during the experiments is given by the intersection of the supply function  $g=0.82 \text{ mol m}^{-2}$  $s^{-1}$  with the A vs.  $c_i$  dependence curve determined when  $\psi_i$  was -1.9 MPa. If the intrinsic photosynthetic capacity had decreased (as was observed) with decreasing leaf water potential, but leaf conductance had remained the same (as in unstressed plants), then the photosynthetic rate which would have occurred is found by taking the intersection of supply function  $g = 0.82 \text{ mol m}^{-2} \text{ s}^{-1}$  and the A vs.  $c_i$ dependence curve determined at a  $\psi_i$  of -4.0 MPa. From this analysis, only 6% of the absolute decrease in photosynthetic rate associated with decreased  $\psi_i$ , could have been prevented by maintaining a high g while the intrinsic photosynthetic capacity declined. Similarly, we can ascertain what the photosynthetic rate would have been if values of  $A_0$ and  $\partial A/\partial c_i$  had remained high, but g had decreased (as observed). Taking the intersection of supply function g =0.041 mol m<sup>-2</sup> s<sup>-1</sup> with the A vs.  $c_i$  dependence curve determined when  $\psi_t$  was -1.9 MPa and comparing this hypothetical value with the same minimum and maximum observed rates described above, we calculate that only 4% of the total decrease in photosynthesis associated with water stress could have been prevented by retaining high values of  $A_0$  and  $\partial A/\partial c_i$  while g declined. Thus, while the relative limitation imposed by stomates increases substantially at low  $\psi_1$ , the absolute decrease in photosynthesis can be attributed almost equally to both stomatal closure and decreased intrinsic photosynthetic capacity. Under water stress conditions, high photosynthetic rates cannot be achieved by increasing either component alone.

Unlike many desert species, leaves of *E. frutescens* show no increase in photosynthetic stability at high temperatures

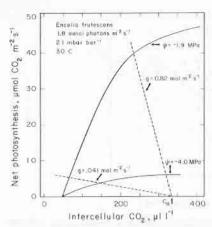


Fig. 11. Representative photosynthesis  $-c_i$  dependence curves (solid lines) for the highest and lowest leaf water potentials and supply functions (dashed lines) with slopes proportional to the highest and lowest conductances (mol m<sup>-2</sup> s<sup>-1</sup>) (g) observed during the drought cycle. The intersection of a supply function and a photosynthesis  $-c_i$  response curve predicts the photosynthetic rate which would be observed for a leaf with specified value of g and photosynthesis vs.  $c_i$  response curve

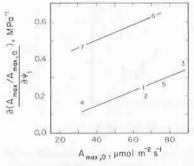


Fig. 12. The relative rate of decline in photosynthesis with decreasing leaf water potential as a function of the calculated photosynthetic rate under optimal conditions of a leaf water potential of 0 MPa for desert species grown under high irradiances. Data are from several sources: 1 Encelia frutescens (this study), 2 Encelia farinosa (Ehleringer and Cook 1983), 3 Amaranthus palmeri (Ehleringer 1983), 4 Larrea divaricata (Mooney 1980), 5 Malvastrum rotundifolium (Forseth and Ehleringer 1983), 6 Lupinus arizonicus (Forseth and Ehleringer 1983), 7 Nerium oleander (Björkman et al. 1980)

in response to higher growth temperatures. This trend, however is consistent with what has been observed in other Encelia species (Ehleringer and Björkman 1978). Photosynthetic rate reached 50% of maximum at about 43° C and decreased precipitously with further increases in temperature. This is comparable to the upper limit of thermal stability observed in most winter annual species growing under early spring conditions (Seeman et al. 1980a; Forseth and Ehleringer 1982a). It is below the range of maximum temperatures tolerated by desert evergreen perennials or summer annuals (Downton et al. 1980), and it is below the range of many desert winter annuals when acclimated to end of season conditions (Forseth and Ehleringer 1982a). This observed photosynthetic temperature dependence suggests that leaves of E. frutescens must utilize periods of moderate temperatures for photosynthesis and growth or, under high air temperatures, utilize high g to achieve high rates of evaporative cooling.

E. frutescens had an intermediate ability to maintain positive photosynthetic rates at low  $\psi_t$  when compared to other sympatric desert species.  $A_{\rm max}$  in annual species from these deserts decreases to zero at  $\psi_t$  ranging from -1.6 to -3.85 MPa (Mooney 1980; Ehleringer 1983; Forseth and Ehleringer 1983). For other desert perennials  $A_{\rm max}$  decreased to zero at  $\psi_t$  ranging from -2.1 to -8.0 MPa (Odening et al. 1974; Björkman et al. 1980; Mooney 1980; Ehleringer and Cook 1983).

There are sufficient data sets now for warm desert species that we can ask whether or not the decline in photosynthesis  $(A_{max})$  with leaf water potential is a function of the maximum photosynthetic rate under optimal conditions or if other factors influence the rate of decline of photosynthesis. From the data cited above, we have plotted the calculated rate of decline of  $A_{\text{max}}$  with decreasing leaf water potential as a function of  $A_{\text{max},0}$  under optimal conditions (Fig. 12). In all of the above cited studies, the decline in  $A_{\text{max}}$  with leaf water potential was linear, but the minimum leaf water potential in the studies was not identical. Therefore, to place the data on a common basis, we calculated what the photosynthetic rate  $(A_{max,0})$  would have been at a leaf water potential of 0 MPa, and called this the optimal condition. Although the data clearly fall into two groups, it appears that there are small, but significant increases in the rate of photosynthetic decline with decreasing leaf water potential as a function of increasing photosynthetic capacity. By far though, the major factor affecting the decline in  $A_{\text{max}}$  with leaf water potential is whether or not the leaves are capable of active osmotic adjustment in response to decreasing soil water availability. Leaves of both species in the upper curve of Fig. 12 show no osmotic adjustment in response to declining soil water availability, whereas leaves of all five species in the lower curve of Fig. 12 exhibit active osmotic adjustment in response to decreasing soil water availability. That the decline in Amax with leaf water potential in leaves which osmotically adjust is less than half the rate of those which do not osmotically adjust to decreased water availability clearly implies that osmotic adjustment confers an adaptive advantage in water limited habitats.

Another member of the genus *Encelia*, which is sympatric with *Encelia frutescens* over much of its range but occupies drier sites, is *Encelia farinosa* Gray. *E. farinosa* occurs on rocky slopes, while *E. frutescens* is generally restricted to gullies and wash habitats where soil water is more plentiful. The two species are similar in size and habit but differ in leaf morphology and in photosynthetic response to water stress (Ehleringer and Cook 1983). While *E. frutescens* leaves are small (100–250 mm²), essentially glabrous, and with high absorptances, *E. farinosa* leaves are larger (150–1,500 mm²), pubescent, and have low absorptances.

The effect of decreasing  $\psi_l$  on the photosynthetic behavior of these two *Encelia* species helps to explain the differences in their respective ecological niches. Although the leaves of both species show very similar temperature optima (Ehleringer and Björkman 1978), and neither species is able to photosynthetically acclimate or to tolerate very high leaf temperatures, *E. farinosa* leaves exhibit features which will allow greater tolerance to hotter, drier microsites than *E. frutescens*. As  $\psi_l$  declines,  $A_{max}$  declines more rapidly in *E. frutescens* than in *E. farinosa* reaching values of 0 at

 $\psi_1$  of -4.1 and -5.1 for E. frutescens and E. farinosa, respectively. However, the magnitude of the decline in  $A_{max}$ with  $\psi_1$  (µmol m<sup>-2</sup> s<sup>-1</sup> MPa<sup>-1</sup>) is 13.5% less in E. frutescens than in E. farinosa. The rate of decline in  $\partial A/\partial c_i$  with  $\psi_i$  (mol m<sup>-2</sup> s<sup>-1</sup> MPa<sup>-1</sup>) is 36% less in E. farinosa than in E. frutescens. This means that the higher photosynthetic rates in E farinosa leaves at low  $\psi_i$ , are due to greater stability of intrinsic photosynthetic capacity rather than maintaining higher values of g. As g decreases at lower  $\psi_I$ , the increasing reflectance of E. farinosa leaves helps to keep leaf temperatures from greatly exceeding the temperature optimum, and the components of intrinsic photosynthetic capacity,  $A_0$  and  $\partial A/\partial c_i$ , are slow to decline. This allows E. farinosa to inhabit the drier slopes where water availability is most limited. The intrinsic photosynthetic capacity of E. frutescens on the other hand is more sensitive to decreasing  $\psi_1$  and this is compounded by the lack of any mechanism other than high transpiration rates to prevent excessive leaf temperatures when air temperature is above the photosynthetic optimum. Thus, E. frutescens is found restricted to the desert wash habitat where soil water is most abundant.

Reduced soil water potentials may develop recurrently during the periods when E. frutescens shrubs are active. The ability of E. frutescens to tolerate moderately low leaf water potentials while maintaining positive photosynthetic rates will facilitate exploitation of this intermittent rainfall regime. The increase in WUE under cuvette conditions caused by reduced g may represent a more conservative and efficient use of water as  $\psi_l$  declines. The potential value of such a conservative response in terms of increasing whole shrub seasonal carbon assimilation may be reduced or even decimated, however, by the increased  $\Delta w$  associated with higher air and leaf temperatures.

The decline in  $A_{\text{max}}$  as  $\psi_1$  decreased was also accompanied by reductions in total canopy cover. These were only observed in a qualitative manner in this study, but they would be quite important to whole plant photosynthetic rates. Leaf abscission became quite pronounced at very low  $\psi_1$ . Leaf abscission commenced with the oldest leaves and became noticeably greater in stressed plants compared to well watered controls as  $\psi_i$  in the former decreased to -2.5 MPa. Total leaf area was progressively reduced as w<sub>1</sub> continued to decrease, because of increased leaf abscission and reduced leaf production. These decreases in total leaf area would interact with the reported decreases in photosynthetic rate per leaf area in a multiplicative manner to reduce whole plant carbon gain. The fraction of the seasonal carbon assimilation made under conditions of low  $\psi_1$  will therefore be rather small, but will depend on the rate at which  $\psi_i$  declines under field conditions. Even reduced rates of photosynthesis late in the season may be important for crucial functions such as the completion of seed filling. Detailed phenological data are therefore needed for further evaluation of the ecological importance of the photosynthetic behavior of E. frutescens at low water potentials.

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