Leaf carbon isotope ratios of plants from a subtropical monsoon forest*

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Summary. Carbon isotope ratios were used to survey the distribution of photosynthetic pathways among taxa, the relationship between photosynthetic pathway and habitat light levels, and the relationship between intercellular CO_2 levels of C_3 plants and habitat light levels within a subtropical monsoon forest in southern China. Of 128 species, most (94) possessed the C_3 photosynthetic pathway; 33 species possessed the C_4 pathway and all of these were restricted to high light locations. There was one epiphytic CAM species. The C_3 species were classified as occurring in open, intermediate, and closed canopy sites. Among C_3 species, carbon isotope ratios tended to become more negative with decreasing light availability in the habitat.

Key words: Tropical forests $-C_3 - C_4 - CAM - Intercellular CO₂$

Over the past fifteen years, carbon isotopic analyses have been used as an effective means of surveying the distributions of C3 and C4 photosynthetic pathways within different vegetation types (Winter and Troughton 1978; Ziegler et al. 1981; Hattersley 1983). More recently, Farquhar et al. (1982b) proposed that carbon isotope ratios in C₃ plants indicate the average intercellular CO2 concentration (ci) during the photosynthetic periods. Experimental evidence to support this prediction is now available (Farguhar et al. 1982a; Ehleringer et al. 1985; Downton et al. 1985). Wateruse efficiency, the ratio of photosynthesis to transpiration, can be estimated knowing c_i and the leaf to air humidity gradient (\(\Delta \text{w} \)) (Pearcy and Ehleringer 1984). If \(\Delta \text{w} \) in leaves from different plants is uniform, carbon isotope ratios can be used as an indication of the average leaf water-use efficiency. Farquhar and Richards (1984) verified this prediction for different wheat cultivars and Hubick et al. (1986) have extended this to peanut cultivars.

The purpose of the present study was to survey the carbon isotope ratios for the common plant species in a monsoonal rainforest in southern China. Our objectives were to answer two questions: 1) what species possess the C_4 photosynthetic pathway and in which microhabitats are they found, and 2) within the C_3 species, does carbon

isotopic composition vary with either life form or microhabitat implying a relationship between habitat and c_i ?

Materials and methods

Naturally-growing plants were sampled at the Ding Hu Shan Biosphere Preserve, Guangdong Province, China (lat. 23°08' N, lat. 112°35' E). The preserve, operated by the South China Institute of Botany, is a 1,200 ha parcel of monsoon evergreen broad-leaf forest, most of which has been protected from human disturbance for at least 400 years (Wang et al. 1982). The preserve is part of the MAB World Biosphere Network and is surrounded by lands heavily impacted by human activity for both agricultural and forestry purposes. The climate of this region is distinctly monsoonal. The average annual precipitation is 1,927 mm, of which almost 70% falls between the months of May and September (Huang and Fan 1982). Air temperatures average 21.4° C annually with a low of 12.0° C in January. The soils are lateritic with a pH range of 4.5–5.0 (He et al. 1982).

The plant species sampled were subdivided into three categories on the basis of the microhabitat where the species were most abundant. These categories (closed-, intermediate- and open-microhabitat) represent mature closed canopy forest and two types of disturbed forests with much lower biomass and cover. Species most abundant in disturbed forests of intermediate canopy closure were labeled intermediate while species from open woodland with scattered introduced and native trees were labeled open. Wang et al. (1982) and Ehleringer et al. (1986) describe these plant communities in more detail. Taxonomic identification of the species is based on the Handbook of Plants of Ding Hu Shan (Shi et al. 1978).

Leaf tissues for carbon isotope ratio analysis were collected from mature leaves on plants in their natural habitat within the preserve. Carbon isotope ratios were measured on ground leaf tissues, using an isotope rationing mass spectrometer (Tieszen et al. 1979) and are expressed relative to the PDB standard.

Results and discussion

There has been limited research on photosynthetic pathways in tropical and subtropical vegetation types. Chazdon (1978) and Rundel (1980), focusing on C₄ grasses, noted that in Costa Rica and in the Hawaiian Islands, the C₄

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Table 1. Carbon isotope ratio, life form and habitat of plants from the Ding Hu Shan Preserve

| Family | mily Species Carbon (parts p | | Life form | Habitat |
|--------------------|--|------------------|-----------|------------------------|
| Lycopodiophyta | | | | |
| Lygodiaceae | Lygodium japonicum (Thunb.) Sw. | -27.84 | herb | intermediate |
| Selaginellaceae | Selaginella uncinata (Desv.) Spring | -28.66 | herb | closed |
| Pteridophyta | | | | |
| Adiantaceae | Adiantum capillus-veneris L. | -29.20 | fern | intermediate |
| | Adiantum flabellulatum L. | -32.76 | fern | closed |
| Angiopteridaceae | Angiopteris fokiensis Heiron. | -30.74 | fern | closed |
| Aspidiaceae | Hemigramma decurrens (Hook.) Copel. | -33.40 | fern | closed |
| Cyatheaceae | Cyathea podophylla (Hook.) Copel. | -30.57 | tree fern | closed |
| Dicksoniaceae | Cibotium barometz (L.). J. Sm. | -29.71 | fern | closed |
| Gleicheniaceae | Dicranopteris linearis (Burm.) Underw. | -27.99 | fern | open |
| Lindsaeaceae | Stenoloma chusanum (L.) Ching | -28.34 | fern | closed |
| Osmundaceae | Osmunda vachellii Hook. | -31.04 | fern | closed |
| Polypodiaceae | Lemmaphyllum microphyllum Presl. | -26.50 | fern | open |
| Thelypteridaceae | Abacopteris multilineatum (Wall) Ching | -29.88 | fern | closed |
| Spermatophyta Gym | nospermae | | | |
| Cupressaceae | Platycladus orientalis (L.) Franco | -27.77 | tree | open |
| Pinaceae | Pinus massoniana Lamb. | -26.40 | tree | open |
| Angiospermae Dicot | yledonae | | | |
| Acanthaceae | Rostellularia procumbens (L.) Necs | -29.09 | herb | intermediate |
| Amaranthaceae | Alternanthera philoxeroides (Mart.) Griseb. | -29.30 | herb | intermediate |
| | Amaranthus ascendens Loisel. | -13.20 | herb | open |
| Annonaceae | Fissistigma glaucescens (Hance) Merr. | -29.59 | Liana | closed |
| Araliaceae | Schefflera octophylla (Lour.) Harms | -31.95 | tree | intermediate |
| Asclepiadaceae | Dischidia chinensis Champ. ex Benth. | -15.24 CAM | epiphyte | open |
| Asteraceae | Ageratum conyzoides L. | -30.85 | herb | open |
| | Senecio hoi Dunn Senecio scandens BuchHam. | -34.50 -31.92 | Liana | closed intermediate |
| Begoniaceae | Begonia fimbristipula Hance | -33.10 | herb | closed |
| Burseraceae | Canarium album Racusch. | -28.31 | tree | open |
| Euphorbiaceae | Alchornea trewioides (Benth.) MuellArg. | -29.65 | shrub | intermediate |
| - Allen Sansans | Aporosa yunnanensis Metc. | -30.82 | tree | closed |
| | Croton lachnocarpus Benth. | -34.40 | shrub | intermediate |
| | Croton tiglium L. Euphorbia antiquorum L. | -29.50 -28.80 | tree | closed intermediate |
| | Mallotus apelta (Lour.) MuellArg. | -26.74 | shrub | intermediate |
| | Phyllanthus urinaria L. | -30.61 | herb | open |
| Comment | Sapium discolor Muell. Arg. | -31.30 | tree | closed |
| Fagaceae | Castanopsis chinensis Hance | -29.80 | tree | intermediate |
| Guttiferae | Garcinia oblongifolia Champ | -30.02 | tree | closed |
| Lauraceae | Cryptocarya chinensis (Hance) Hemst. Cryptocarya concinna Hance | -33.62 -34.86 | tree | closed |
| | Evodia lepta (Spreng.) Merr. | -31.29 | shrub | closed |
| | Lindera chunii Merr. | -31.42 | tree | closed |
| | Lindera communis Hemsl. Machilus velutina Champ. ex Benth. | -29.10 -32.34 | tree | intermediate closed |
| Lamiaceae | Perilla frutescens (Linn.) Britt. | -29.35 | herb | open |
| Lobeliaceae | Lobelia chinensis Lour. | -30.80 | herb | open |
| Loranthaceae | Elytranthe cochinchinensis (Lour.) G. Don | -29.80 | parasite | intermediate |
| | Loranthus pentapetalus Roxb. | -30.80 | parasite | intermediate |
| | Taxillus chinensis (DC.) Danser | -30.80 | parasite | intermediate |
| Magnoliaceae | Tsoongiodendron odorum Chun | -31.18 | tree | closed |

| Fable 1 (continued) | | | | |
|---------------------|---|---|-----------------------|------------------------------|
| Family | Species | Carbon isotope ratio (parts per thousand) | Life form | Habitat |
| Melastomaceae | Memecylon ligustrifolium Champ. ex Benth. | -29.64 | tree | closed |
| Mimosaceae | Pithecellobium clypearia Benth. | -30.33 | tree | closed |
| Molluginaceae | Mollugo pentaphylla L. | -26.90 | herb | open |
| Myrsinaceae | Ardisia quinquegona Bl. | -31.35 | shrub | closed |
| Myrtaceae | Baeckea frutescens L. | -29.36 | shrub | intermediate |
| | Eucalyptus robusta Sm. Rhodomyrtus tomentosa (Ait.) Hassk. Syzygium rehderianum Merr. & Perry | -27.40 -30.38 -29.95 | tree shrub tree | open open intermediate |
| Oxalidaceae | Oxalis corniculata L. Oxalis corymbosa DC. | -30.15 -30.76 | herb herb | open open |
| Piperaceae | Peperomia pellucida (L.) Kunth | -26.90 | herb | open |
| Plantaginaceae | Plantago major L. | -28.20 | herb | open |
| Polygalaceae | Salomonia cantoniensis Lour. | -30.65 | herb | intermediate |
| Polygonaceae | Polygonum hydropiper L. | -29.77 | herb | open |
| Primulaceae | Lysimachia fortunei Maxim. | -30.92 | herb | closed |
| Proteaceae | Helicia reticulata W.T. Wang | -30.92 -28.40 | | intermediate |
| Rosaceae | Pygeum topengii Merr. | -28.40 -30.23 | tree | |
| Rosaccae | Rhapiolepis indica Lindl. | -30.23 -27.14 | tree | open |
| Rubiaceae | Psychotria rubra (Lour.) Poir. | -33.82 | shrub | closed |
| | Hedyotis diffusa Willd. | -28.64 | herb | open |
| Sarcospermataceae | Sarcosperma laurinum (Benth.) Hook. f. | -29.58 | trec | closed |
| Scrophulariaceae | Adenosma glutihosum (L.) Druce var. caeruleum (R. Br.) Tsoong | -30.32 | herb | open |
| Sterculiaceae | Sterculia lanceolata Cav. | -29.74 | tree | closed |
| Theaceae | Eurya chinensis R. Br. | -29.03 | shrub | open |
| Monocotyledoneae | Schima superba Gardn, & Champ. | -31.55 | tree | closed |
| Araceae | Alocasia macrorrhiza (L.) Schott | -27.74 | herb | intermediate |
| Cyperaceae | Cyperus alternifolius L. ssp. flabelliformis (Rottb.) Kükenth. | -30.40 | sedge | intermediate |
| | Cyperus pilosus Vahl | -10.82 | sedge | open |
| | Fimbristylis aestivalis (Retz.) Vahl | -12.70 | sedge | open |
| | Fimbristylis annua (All.) Roem. Fimbristylis complanata (Retz.) Link. | -11.04 -10.61 | sedge sedge | open |
| | Fimbristylis schoenides Vahl | -10.77 | sedge | open |
| | Hypolytrum nemorum (Vahl) Spreng. | -34.70 | sedge | intermediate |
| | Lipocarpha microcephala (R. Br.) Kunth | -10.47 | sedge | open |
| | Scleria levis Retz. Scleria terrestris (L.) Fassett | - 33.00 - 32.00 | sedge sedge | intermediate intermediate |
| Eriocaulaceae | Eriocaulon wallichianum Mart. | -28.63 | herb | closed |
| Liliaceae | Dianella ensifolia (L.) DC. | -29.70 | herb | intermediate |
| | Hemerocallis fulva L. | -29.49 | herb | intermediate |
| | Ophiopogon japonicus (Thunb.) Ker-Gawl. | -31.70 | herb | closed |
| Orchidaceae | Arundina chinensis Bl. | -29.86 | herb | open |
| Palmae | Daemonorops margaritae (Hance) Becc. | -29.58 | liana | closed |
| Pandanaceae | Pandanus austrosinensis T.L. Wu | -31.28 | herb | closed |
| Poaceae | Arundinella setosa Trih. | -11.93 | grass | open |
| | Capillipedium parviflorum (R. Br.) Stapf | -13.60 | grass | open |
| | Cenchrus calyculatus Caran. Cymbopogon caesius Staph. | -10.26 | grass | open |
| | Digitaria longiflora (Retz.) Pers. | -12.90 -12.20 | grass grass | open open |
| | Digitaria microbachne (Presl.) Henr. | -10.90 | grass | open |
| | Digitaria violascens Link | -11.53 | grass | open |
| | Eragrostis amabilia (L.) Wright et Arn. | -11.73 | grass | open |
| | Eragrostis perennans Keng | -13.10 | grass | open |

Table 1 (continued)

| Family | Species | Carbon isotope ratio (parts per thousand) | Life form | Habitat | |
|---------------|--|---|-----------|--------------|--|
| | Eragrostis perlaxa Keng | -12.50 | grass | open | |
| | Eragrostis pilosissima L. | -13.50 | grass | open | |
| | Eragrostis reflexa Hack. | -12.51 | grass | open | |
| | Eragrostis tenella (L.) Beauv. | -13.66 | grass | open | |
| | Eragrostis tephrosanthos Nees et. Merr. | -12.30 | grass | open | |
| | Eragrostis zeylanica Nees et Mey. | -11.64 | grass | open | |
| | Eriachne pallescens R. Br. | -11.70 | grass | open | |
| | Eulalia quadrinervis (Hack) Kuntze | -12.07 | grass | open | |
| | Garnotia patula (Munro) Benth. | -12.63 | grass | open | |
| | Indocalamus longiauritus Hand. Mazz. | -32.90 | grass | closed | |
| | Isachne globosa (Thunb.) Kuntze | -28.66 | grass | intermediate | |
| | Ischaemum aristatum L. | -11.36 | grass | open | |
| | Ischaemum ciliare Retz. | -10.88 | grass | open | |
| | Leersia hexandra SW. | -29.90 | grass | closed | |
| | Leptochloa chinensis (L.) Nees | -12.41 | grass | open | |
| | Miscanthus floridulus (Labill.) Wesb. | -12.43 | grass | open | |
| | Oplismenus compositus (L.) Beauv. | -27.58 | grass | open | |
| | Paspalum orbiculare G. Forst. | -13.40 | grass | open | |
| | Pogonatherum crinitum (Thunb.) Kunth | -12.20 | grass | open | |
| | Sacciolepis indica (L.) A. Chase | -10.94 | grass | open | |
| | Setaria pallide-fusca (Schum.) Stapf et C.E. Hubb. | -11.62 | grass | open | |
| | Thysanolaena maxima (Roxb.) Kuntze | -28.35 | grass | intermediate | |
| Zingiberaceae | Alpinia chinensis Rosc. | -33.20 | herb | closed | |
| 5557 | Alpinia pumila Hook. f. | -28.20 | herb | intermediate | |
| | Alpinia zerumbert (Pers.) Burtt. & Smith | -34.60 | herb | closed | |
| | Costus speciosus (Koenig) Smith | -29.60 | herb | intermediate | |
| | Costus tonkinensis Gagnep. | -27.40 | herb | intermediate | |
| | Zingiber zerumbet (L. Smith) | -32.00 | herb | closed | |

grasses reach their greatest abundances at lowland sites. Medina and Minchin (1980) reported carbon isotope ratios for 35 species from upper and lower canopy positions within an Amazonian rainforest and all were typical of C_3 species. While the relatively low initial slope of the light response of photosynthesis may tend to place C_4 species at a competitive disadvantage in low-light habitats (Ehleringer 1978), C_4 plants are not totally absent from shaded sites. Pearcy and Troughton (1975) reported that *Euphorbia forbesii*, a lower-canopy tree of Hawaiian Islands forests, possesses the C_4 pathway.

We surveyed 128 of the 2,054 species of higher and lower plants described in Shi et al. (1978). These were the most common species at the sites. Of these, 94 or 73% possessed the C₃ photosynthetic pathway, 33 (26%) the C₄ photosynthetic pathway and 1 species (1%) the CAM pathway (Table 1). Of the C₄ species in the flora, only one was a dicot (*Amaranthus ascendens*). The remainder of the C₄ species were either grasses or sedges. The single CAM species present, *Dischidia chinensis*, belonged to the Asclepiadaceae.

There were several interesting distribution patterns with respect to photosynthetic pathways. The C₃ grasses included species most abundant in each of the major microhabitats (open, intermediate, and closed canopy) (Tables 1 and 2). However, the C₄ grasses were most abundant only in open habitats. In contrast, the C₃ sedges on Ding Hu Shan were typical of intermediate habitats, while the C₄ sedges were most abundant in open habitats. Since A. ascendens, the sole C₄ dicot, also occurred in open habitats, all

of the C₄ species we sampled reached greatest abundance in open, high-light environments.

The epiphytic CAM species, *Dischidia chinensis*, occurred on tree trunks and throughout the canopies of the dominant trees of the closed forest. Because these CAM plants occurred at many levels in the canopy, they encountered a broad range of light environments.

Within the C₃ species in each life form, leaf carbon isotope ratios were more negative in species typical of increasingly closed forest (Table 2). For the life forms represented in all of the habitats, a two-way analysis of variance revealed highly significant effects of habitat, but insignificant effects of life form (Table 3). In fern, grass, shrub and tree life forms, the changes in average carbon isotope ratios exceeded 3.3 per mil in going from open to closed canopy habitats. In the herbs, the changes were closer to 2.5 per mil.

The changes in carbon isotope ratio with habitat could be due to two possible effects: the carbon isotope ratio of the source CO₂ could differ among habitats, or leaves in different habitats could be operating at different average intercellular CO₂ concentrations. Both of these effects would result in more negative carbon isotope ratios in the closed canopy habitats.

Vogel (1978) proposed that in closed forest situations, the source CO_2 for understory plants was largely derived from decomposed surface organic matter. If that were the case, then the carbon isotope ratio of the atmospheric CO_2 in the closed canopy would be close to -25 to -30%, since this is the isotopic composition of the decaying plant

Table 2. Average carbon isotope composition of different life forms arranged according to the microhabitat in which they occurred at the Ding Hu Shan Preserve, China. Data are $x\pm 1$ SE with sample size in parentheses. NP indicates that this life form is not present in that habitat

| | | | Open | Intermediate | Closed canopy |
|--------------|----------------------------------|---------|-------------------------------------|--------------------------|------------------------|
| fern | C_3 | 11 | -27.25 ± 0.53 (2) | -29.20 (1) | -30.81 ± 0.54 (8) |
| grass | C_3 C_4 | 5 26 | -27.58 (1) -12.15 ± 0.17 (26) | -28.51 ± 0.11 (2) NP | -31.40±1.06 (2) NP |
| sedge | C ₃ C ₄ | 4 | NP -11.07±0.31 (6) | -32.53 ± 0.78 (4) NP | NP NP |
| herb | C ₃ C ₄ | 31 | -29.47 ± 0.34 (13) -13.20 (1) | -29.13±0.40 (10) NP | -31.93±0.59 (8) NP |
| epiphyte CAM | | 1 | NP | NP | -15.24 (1) |
| liana | C ₃ | 3 | NP | NP | -31.22 ± 1.34 (3) |
| shrub | C_3 | 11 | -28.85 ± 0.77 (3) | -29.79 ± 1.13 (5) | -32.15 ± 0.68 (3) |
| tree | C ₃ | 24 | -27.47 ± 0.35 (4) | -29.84 ± 0.53 (5) | -31.08 ± 0.39 (15) |

Table 3. Two-way ANOVA testing for significant effects of life form (grass, fern, herb, shrub, tree) and habitat of greatest abundance (open, intermediate, closed) on the carbon isotope ratio of leaves of 86 species from Ding Hu Shan

| Source | Degrees of freedom | F-ratio | P < |
|-------------|-----------------------|---------|-------|
| Life Form | 4 | 0,27 | 0.876 |
| Habitat | 2 | 55.8 | 0.001 |
| Interaction | 8 | 1.89 | 0.676 |

materials. This value would then contrast with the carbon isotope ratio of the free atmospheric CO2, which is closer to -8% (Francey et al. 1985). This is a reasonable explanation for why differences in leaf isotopic composition should be expected in open versus closed habitats, and has been used by Medina and Minchin (1980) and in part by Schleser and Jayasekera (1985) to explain observed variations in leaf carbon isotope ratios within a forest canopy. Measurements of the isotopic composition of atmospheric CO₂ are very limited, but tend to indicate that isotope ratios within the canopy are generally close to the values for the bulk air. Francey et al. (1985) found a gradient of less than 1% from the top of a pine canopy to 1 m above the forest floor. Substantial gradients in the isotopic composition of the atmospheric CO2 are likely to arise only under conditions when little wind penetrates into the canopy.

An alternative explanation for the trend in the carbon isotopic composition of leaves has been proposed by Farquhar et al. (1982a, b). This model predicts that carbon isotope ratios should vary with the ratio of the intercellular (c_1) to atmospheric (c_a) CO₂ concentrations. Using gas exchange techniques, Ehleringer et al. (1986) have demonstrated in a number of species from Ding Hu Shan that the c_i/c_a ratio changed as a function of light levels. They observed that over the range of light levels expected between open and closed canopy habitats, there were sufficient changes in c_i/c_a in leaves to account for the observed differences in leaf carbon isotope ratios between habitats.

Our data do not allow a definitive partitioning of the habitat-to-habitat differences in leaf-carbon-isotope com-

position between effects due to the physiological parameter c_i/c_a and differences due to the environmental parameter, variation in the isotope composition of the source CO₂. However, the absence of significant differences in the carbon isotope composition of leaves among life forms (Table 3) strongly argues against the importance of source effects. If source effects were important, we would expect the most negative isotope ratios in the herb layer and the least negative values in the trees. Further, we would expect the largest gradient in plants of the closed forest with the least wind penetration and the smallest gradient in plants of the open forest. Neither prediction is supported by the data (Table 2). Thus, the hypothesis supported by the trend in isotope compositions is that average levels of intercellular CO₂ are highest in species typical of closed forest and lowest in species typical of open forest. This interpretation is also consistent with the data of Ehleringer et al. (1986) demonstrating that in several species from Ding Hu Shan, intercellular CO₂ concentration decreases with increasing light.

If source effects are not important and if the humidity gradient is uniform, then differences in carbon isotope composition correspond to differences in water-use efficiency. A more negative isotope ratio indicates a lower ratio of photosynthesis to transpiration. In general, ambient humidities are slightly lower and leaf temperatures are slightly higher in open than in closed forest at Ding Hu Shan (Field et al. 1986). Thus, the indication from the carbon isotope ratios that water-use-efficiency is greatest in plants typical of open habitats and least in plants typical of closed forest is counteracted by the trends in environment.

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